



#12/Pre-Amend B  
6/5/03  
PATENT  
C. Moore

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE

APPLICATION OF: Choudhury et al.

SERIAL NO.: 09/760,682

FILED: January 16, 2001

FOR: PASSIVE ALIGNMENT CONNECTION  
FOR FIBER OPTICS

EXAMINER: G. Wang

ART UNIT: 2874

ATTORNEY DOCKET NO.: A1-057 US  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

PRELIMINARY AMENDMENT

Prior to examining the above captioned patent application, please enter the following amendment responsive to the final Office Action in the parent case mailed January 29, 2003:

In the Claims:

Please cancel claims 17, 30 and 40.

Please rewrite claims 1, 16, 21, 29, 33, and 41 to read as follows, and have each amended version replace the previous version of each of these claims.

--1. (Twice Amended) A passive alignment fiber optic connection system, comprising:

a connector module having a plurality of fiber optic fibers having ends terminating at a face of said connector module;

5 a substrate module having a plurality of waveguides having ends terminating at a first face or at a second face of said substrate module to define an input end and an output end which are different from each other;

) I hereby certify that this document along with any  
) documents referred to as being attached, is being  
) deposited with the United States Postal Service on  
) the date shown below as first class mail, postage  
) prepaid, in an envelope addressed to: Mail Stop  
) RCE, Commissioner for Patents, P.O. Box 1450,  
) Alexandria, Virginia 22313-1450.

Date

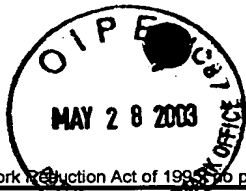
Kerri Richardson

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#11/RCE  
6/5/03  
PTO/SB/30 (01-03)  
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**Request  
For  
Continued Examination (RCE)  
Transmittal**

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/760,682
Filing Date	January 16, 2001
First Named Inventor	Choudhury et al.
Art Unit	2874
Examiner Name	G. Wang
Attorney Docket Number	A1-057 US

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

**1. Submission required under 37 CFR 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Power of Attorney

**2. Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

**3. Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  
The Director is hereby authorized to charge the following fees, or credit any overpayments, to  
Deposit Account No. 50-1873

- i. ☒ RCE fee required under 37 CFR 1.17(e)      05/29/2003 DTESSEM1 00000015 501873 09760682
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)      01 FC:1801      750.00 CH
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Romi Bose	Registration No. (Attorney/Agent)	43,322
Signature		Date	May 23, 2003

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Kerry Richardson	Date	May 23, 2003
Signature			

Page 1 of 2

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.**

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*